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SUITE 1800 ARLINGTO		2209-9889	2655			

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Please find below and/or attached an Office communication concerning this application or proceeding.

		Application	n No.	Applicant(s)						
		10/014,40	6	KOENIG ET AL.						
	Office Action Summary	Examiner		Art Unit						
		Minerva F		2655						
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply										
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).										
Status										
1)	Responsive to communication(s) filed on									
2a) <u></u> ☐	This action is FINAL . 2b)⊠ This action is non-final.									
	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.									
Disposition of Claims										
5)□ 6)⊠ 7)□	 4) Claim(s) 1-37 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1-37 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. 									
Application	on Papers									
9) The specification is objected to by the Examiner. 10) The drawing(s) filed on 14 December 2001 is/are: a) accepted or b) objected to by the Examiner.										
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).										
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.										
Priority u	nder 35 U.S.C. § 119									
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.										
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	of References Cited (PTO-892) of Draftsperson's Patent Drawing Review (PTO-948)		4) Interview Summary Paper No(s)/Mail Da							
3) 🛛 Inform	nation Disclosure Statement(s) (PTO-1449 or PTO/SB/ No(s)/Mail Date <u>12/14/2001</u> .	08)	5) Notice of Informal Pa)-152)					

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DETAILED ACTION

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Claim Objections

1. Claim 19 is objected to because of the following informalities: Claim 19, dependent upon claim 16, refers to "said code"; a code is not mentioned specifically in claim 19. However, the examiner has assumed that the applicant uses the term code interchangeably with message, and will thus treat the claim on the merits. Appropriate correction is required.

2. Claim 9 is objected to because of the following informalities: Claim 9, dependent upon claim 1, recites 'an interpreting component delocalized in the network'. The term delocalized is not clearly defined in the applicant's specification. The examiner has assumed the term *delocalized* synonymous with *remote*, and will further treat the claim on the merits based on said assumption. Appropriate correction is required.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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4. Claims 26 and 29 are rejected under 35 U.S.C. 112, second paragraph, as being

indefinite for failing to particularly point out and distinctly claim the subject matter which

applicant regards as the invention.

Regarding claims 26 and 29, applicant's specification fails to define

'origin of the code'.

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains.

Patentability shall not be negatived by the manner in which the invention was made.

6. Claims 1, 3, 8-10 and 13 are rejected under 35 U.S.C. 103(a) as being

unpatentable over White et al. (US Patent 6,408,272) in view of Bijl et al. (US Patent

6,366,882).

1. Regarding claim 1, White et al. disclose a locally distributed speech recognition

system for converting spoken language into digitized readable text, for a mobile communication device comprising:

a preliminary recognition component located in said mobile communication device (*local device performs preliminary signal processing*, Col. 2, Lines 4-8) an interpreting component located remote from said mobile communication device (*remote system for sophisticated speech recognition*, Col. 2, Lines 8-10).

However, White et al. do not explicitly disclose but Bijl et al. do disclose a component for the re-transmission of the digitized text back to the user is provided (text is returned to the client, Col. 6, Lines 40-43).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.* with Bijl *et al.* and have a component for the re-transmission of the digitized text back to the user in order to enable the user to edit the converted text if deemed necessary, and to have a reference file in the mobile device that can be used by the integrated speech recognizer for recognition improvement purposes as taught by Bijl *et al.* (Col. 6, Lines 43-45).

2. Regarding claim 3, a digital processing component connected to the preliminary recognition component is inherent, being that such an element is necessary for White *et al.*'s preliminary recognition and remote interpretation of the input speech.

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- 3. Regarding claim 8, White *et al.* further disclose the interpreting component is directly connected to or included in a network (*suitable network*, Col. 2, Lines 1-10).
- 4. Regarding claim 9, White *et al.* further disclose the interpreting component is delocalized in the network (*sophisticated speech recognition implemented at the remote system*, Col. 2, Lines 4-10; Col. 2, Lines 38-44).
- 5. Regarding claim 10, White *et al.* disclose the interpreting component comprises a word recognition component (Col. 2, Lines 43-44).
- 6. Regarding claim 12, White *et al.* further disclose the interpreting component comprises a syntax recognition component (*natural language technique*, Col. 6, Lines 36-40).
- 7. Regarding claim 13, White *et al.* further disclose the transmission facility is designed to transfer the data in accordance with a transfer protocol (*transmission methods in accordance with the Advanced Mobile Phone Service standard*, Col. 2, Lines 22-26).

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8. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over White et

al. (US Patent 6,408,272) in view of Bijl et al. (US Patent 6,366,882), as applied to claim

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1 above, and further in view of Nelson (6,061,718).

9. Regarding claim 2, the combined teachings of White et al. and Bijl et al. do not

explicitly disclose but Nelson does disclose

said digitized readable text is transmitted in a short message (SMS) (Col. 3,

Lines 40-43).

Therefore it would have been obvious to one ordinarily skilled in the art at the

invention to modify the teachings of White et al. and Bijl et al. by having said digitized

text transmitted in a short message (SMS), as taught by Nelson, so as to employ a

message transfer protocol that will serve effectively between mobile devices in a

messaging network.

10. Claims 4-5 are rejected under 35 U.S.C. 103(a) as being unpatentable over

White et al. (US Patent 6,408,272) in view of Bijl et al. (US Patent 6,366,882), as

applied to claim 1 above, and further in view of Yoshida et al. (US Patent 5,150,449).

11. Regarding claim 4, the combined teachings of White *et al.* and Bijl *et al.* do not disclose but Yoshida *et al.* suggest the preliminary recognition component comprises a neural network and/or a time delay neuronal network (*neural network unit*, Col. 5, Lines 55-62).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to modify the combined teachings of White *el al.* and Bijl *et al.* by having the preliminary recognition component comprise a neural network and/or a time delay neuronal network as taught by Yoshida *et al.* in order to enable the efficient recognition of patterns in the input speech.

12. Regarding claim 5, the combined teachings of White *et al.* and Bijl *et al.* do not disclose but Yoshida *et al.* suggest said neural network is adaptive and interactive (*speaker adaptation*, Col. 4, Lines 3-9) and/or comprises a modular structure (*multi-layer structure*, Col. 4, Lines 18-21; *layers*, Fig, 1).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the combined teachings of White *et al.* and Bijl *et al.* by having the neural network be adaptive and interactive and/or comprise a modular structure as disclosed by Yoshida *et al.* in order for the system to be adaptable to the

particular pronunciation of a user and to attain greater accuracy in the speech recognition process.

13. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over White *et al.* (US Patent 6,408,272) in view of Bijl *et al.* (US Patent 6,366,882), as applied to claim 1 above, further in view of Cubbage *et al.* (US Patent 6,606,486).

Regarding claim 6, the combined teachings of White *et al.* and Bijl *et al.* do not disclose but Cubbage *et al.* suggest the preliminary recognition component and the interpreting component comprise a component for converting different codes into each other (*bits representing ASCII text are assembled into an SMS (Short Message Service) message*, Col. 6, Lines 4-8).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.* and Bijl *et al.* by having the preliminary recognition component and the interpreting component comprise a component for converting different codes into each other, as suggested by Cubbage *et al.* in order to allow the efficient transmission of the text messages using the SMS protocol.

14. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over White et al. (US Patent 6,408,272) in view of Bijl et al. (US Patent 6,366,882), as applied to claim 1 above, further in view of Stephens, Jr. (US Patent 6,557,026).

Regarding claim 7, the combined teachings of White *et al.* and Bijl *et al.* do not explicitly disclose but Stephens, Jr. does disclose the preliminary recognition component and the interpreting component comprise a storage component to store coded phonemes for further processing (*transmitting phonemes between data processors* (thus requiring the storage of said phonemes), Col. 3, Lines 2-8).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to modify the teachings of White *et al.* and Bijl *et al.* by having the preliminary recognition component and the interpreting component comprise a storage component to store coded phonemes for further processing, as taught by Stephens, Jr., since storing the coded phonemes is necessary for the further processing and transmission of the same.

- 15. Claims 11 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over White *et al.* (US Patent 6,408,272) in view of Bijl *et al.* (US Patent 6,366,882), as applied to claim 1 above, further in view of Komori *et al.* (US Patent 6,662,159).
- 16. Regarding claim 11, the combined teachings of White et al. and Bijl et al. do not

explicitly disclose but Komori *et al.* do disclose the interpreting component comprises a grammar recognition component (Col. 4, Lines 3-5).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.* and Bijl *et al.* by having the interpreting component comprise a grammar recognition component, as taught by Komori *et al.* in order to improve the speech recognition accuracy by including word context.

17. Regarding claim 14, the combined teachings of White *et al.* and Bijl *et al.* do not explicitly disclose but Komori *et al.* do disclose the interpreting component uses a discrete hidden Markov model for interpreting the received coded phonemes (*HMM* (*Hidden Markov Model*), Col. 4, Lines 15-17).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.* and Bijl *et al.* by having the interpreting component use a discrete hidden Markov model for interpreting the received coded phonemes as taught by Komori *et al.* in order for the system to have a convenient and readily available model for the execution of speech recognition.

18. Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over White et al. (US Patent 6,408,272), in view of Sherwood et al. (US Patent 6,424,943), further in view of Bijl et al. (US Patent 6,366,882).

Regarding claim 15, White *et al.* disclose an interpreting component for use in a locally distributed speech recognition system comprising an input for receiving speech from a remote preliminary recognition component (Col. 2, Lines 4-10).

However, White *et al.* do not explicitly disclose but Sherwood *et al.* does disclose receiving digitally coded phonemes (*digitized speech*, Col. 17, Lines 57-59; *phonemes*, Col. 21, Lines 57-62).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.* by receiving speech as digitally coded phonemes, as taught by Sherwood *et al.*, since it is an effective manner of inputting the speech to the speech recognition element.

Moreover, neither White et al. nor Sherwood et al. explicitly disclose but Bijl et al. do disclose

an output for digital coded readable text (Col. 6, Lines 40-45) and a component for reinterpreting a first draft of a digitized readable text (*correction units*, Col. 4, Lines 52-59).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the combined teachings of White *et al.* and Sherwood *et al.* with an output for digital coded readable text and a component for reinterpreting a first draft of a digitized readable text, as taught by Bijl *et al.*, in order to enable the post-processing of the preliminary text and to provide the user with a more accurate transcription.

- 19. Claims 16, 17, 20, 21,23, 24, 25, 27, 28, 30, 31, 33, 34, 35 and 37 are rejected under 35 U.S.C. 103(a) as being unpatentable over White *et al.* (US Patent 6,408,272), in view of Bijl *et al.* (US Patent 6,366,882) and further in view of Padmanabhan *et al.* (US Patent 6,219,638).
- 20. Regarding claims 16, 21 and 28, White et al. disclose
 a mobile communication device for the use in a locally distributed speech
 recognition system (elementary speech recognition, Col. 2, Lines 5-10; local devices

and cellular phone, Col. 5, Lines 41-46);

an acoustic coupler for converting an acoustic voice waveform into an electronic waveform (inherent in *smart telephone*, Col. 5, Line 45).

a preliminary recognizing component for extracting phonemes contained in said waveform (Col. 2, Lines 5-10);

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a converting component for generating a message/code containing the phonemes (Col. 2, Lines 39-44) and

a transmitting component for transmitting said message/code (Col. 2, Lines 39-44).

However, White *et al.* do not explicitly disclose but Bijl *et al.* suggest a component for receiving text transferred from a remote interpreting component (Col. 11, Lines 51-55).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.* with a component for receiving text transferred from a remote interpreting component, as suggested by Bijl *et al.*, in order to enable the user to see the interpreted text data from speech recognition at a remote system that hosts the interpretation component.

Furthermore, the combined teachings of White *et al.* and Bijl *et al.* do not explicitly disclose but Padmanabhan *et al.* suggest

a component for accepting/rejecting a text received from said remote interpreting component (Col. 4, 52-54) and

a component for dispatching an according message (sending the accepted transcription, Col. 4, Lines 62-65).

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Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the combined teachings of White *et al.*, Gerson *et al.* and Bijl *et al.* with a component for accepting/rejecting a text received from said remote interpreting component and a component for dispatching an according message as suggested by Padmanabhan *et al.*, in order to ensure that the user is satisfied with the transcription and can ultimately send the user-approved transcription, as taught by Padmanabhan *et al.* (Col. 4, Lines 62-63).

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21. Regarding claim 17, the combined teachings of White *et al.* and Bijl *et al.* do not explicitly disclose, but Padmanabhan *et al.* suggest a component for retransmitting an amended readable text together with the rejection message (*send text as selected by user after user's acceptance or rejection has been transcribed*, Col. 4, Lines 50-65).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.* and Bijl *et al.* with a component for retransmitting an amended readable text together with the rejection message, as suggested by Padmanabhan *et al.*, in order to provide the user with the opportunity to accept, reject and amend the transcription until found agreeable.

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22. Regarding claims 20 and 23, White *et al.* teach a digital signal processor speech input *(microprocessor*, Col. 11, Lines 30-31).

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23. Regarding claim 24, White *et al.* and Bijl *et al.* do not disclose, but Padmanabhan *et al.* further disclose

storing said digitized readable text (Col. 3, Lines 22-24);

dispatching a rejection signal (*indicating rejection of the message*, Col. 4, Lines 56-58);

receiving a rejection signal (Col. 3, Lines 34-35; *rejection is transcribed*, Col. 4, Lines 58-61);

re-interpreting the code to generate a different digitized readable text (correcting the transcription, Col. 4, Lines 50-52; text is sent after the user is satisfied with the transcription, Col. 4, Lines 62-65).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.*, and Bijl *et al.* with Padmanabhan *et al.* by storing the digitized readable text, dispatching a rejection signal, receiving a rejection signal and re-interpreting the code to generate a different digitized readable text, as further taught by Padmanabhan *et al.*, in order to have the digitized readable text readily available for further processing, effectively communicating to the control system the user's preference regarding disposal of the transcription and provide the user with a correct or more accurate transcription.

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24. Regarding claim 25, White et al. and Bijl et al. do not disclose, but Padmanabhan

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et al. further disclose

after accepting the digitized readable text post-processing of the accepted

digitized readable text by the user (user can correct any part of the message, Col. 4,

Lines 50-51) and

storing the post-processed digitized readable text (sending text to the message

server, Col. 4, Lines 62-65).

Therefore it would have been obvious to one ordinarily skilled in the art at the

time of the invention to supplement the teachings of White et al., and Bijl et al. with

Padmanabhan et al. by post-processing of the accepted digitized readable text by the

user and storing the post-processed digitized readable text, as further taught by

Padmanabhan et al., in order to allow the user to edit the transcription according to

user's preference and to have an updated transcription readily available.

25. Regarding claim 27, White et al. and Bijl et al. do not disclose, but Padmanabhan

et al. further disclose dispatching said digitized readable text or said post-processed

digitized readable text by the user to a recipient (recipient of the message, Col. 1, Lines

49-51).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.*, Gerson *et al.*, Bijl *et al.*, and Padmanabhan *et al.* by having said digitized readable text or said post-processed digitized readable text by the user to a recipient, as further taught by Padmanabhan *et al.*, in order to appropriately convey the user's intention regarding the transcribed message.

26. Regarding claims 30 and 34, White *et al.* further disclose during interpretation the code is processed in accordance with orthography and grammar (*grammar component*, Col. 16, Lines 2-4; *grammar definition language containing specific words* (thus considering orthography), Col. 16, Lines 9-13).

However, White et al. do not disclose, but Bijl et al. do disclose, during interpretation the code is processed in accordance with syntax assessment (allowed sequence of words, Col. 16, Lines 19-21).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White *et al.* by having the code processed in accordance with syntax assessment during interpretation, as further taught by Bijl *et al.*, in order to enhance accuracy of the final interpretation by assigning a higher confidence to those interpretation versions with an approved word sequence or

by rejecting those interpretation versions that do not follow a pre-approved word sequence, said pre-approved word sequences being correct grammar representations of the particular language in use.

27. Regarding claims 31 and 35, White *et al.* do not disclose, but Bijl *et al.* do disclose interpretation of the code is executed in accordance with a specific language selected by a user (*user can indicate language used*, Col. 7, Lines 51-55).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the combined teachings of White *et al.* by having the interpretation code executed in accordance with a specific language, as further taught by Bijl *et al.*, in order to provide an interpretation service that will be useful to a broader range of customers.

28. Regarding claims 33 and 37, White *et al.* do not teach, but Bijl *et al.* further disclose compressing the phoneme code prior to transmittal to the interpreting component (*compressed digital data files*, Col. 7, Lines 57-58).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the combined teachings of White *et al.*, Gerson *et*

al., Bijl et al. and Padmanabhan et al. with compressing the phoneme code prior to transmittal to the interpreting component, as further taught by Bijl et al., in order to reduce the amount of data to be transmitted, as taught by Bijl et al. (Col. 8, Lines 1-5) and thus economize system resources.

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29. Claims 18, 32 and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over White et al. (US Patent 6,408,272), in view of Bijl et al. (US Patent 6,366,882) and further in view of Padmanabhan et al. (US Patent 6,219,638), as applied to claims 16, 21 and 28 above, respectively, further in view of Sherwood et al. (US Patent 6,424,943).

Regarding claims 18, 32 and 36, the combined teachings of White et al., Bijl et al., Padmanabhan et al. do not explicitly disclose but Sherwood et al. do disclose having the preliminary recognition component distinguish vowels, consonants, intervals and probabilities (acoustic scores correspond to probabilities, Col. 11, Lines 49-55; probability density functions (pdf) for vowels and consonants, Col. 21, Lines 49-53); length of the sound and duration, Col. 10, Lines 46-54).

Therefore it would have been obvious to one ordinarily skilled in the art at the time of the invention to supplement the teachings of White et al., Gerson et al., Bijl et al. and Padmanabhan et al. by having the preliminary recognition component distinguish vowels, consonants, intervals and probabilities, as taught by Sherwood et al., so as to

compose the aforementioned variables in a score that would aid and improve the accuracy of the speech recognition process, as taught by Sherwood *et al.* (Col. 5, Lines 26-36).

30. Claims 19 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over White *et al.* (US Patent 6,408,272), in view of Bijl *et al.* (US Patent 6,366,882), further in view of Padmanabhan *et al.* (US Patent 6,219,638), as applied to claims 16 and 21 above, respectively, and further in view of Nelson (US 6,061,718).

Regarding claims 19 and 22, the combined teachings of White *et al.*, Gerson et al., Bijl *etal.* and Padmanabhan *et al.* do not explicitly disclose but Nelson discloses the code used is the code of a short message system used in telecommunication networks (Col. 3, Lines 39-44).

Therefore it would have been obvious to one ordinarily skilled in the art at the invention to modify the teachings of White *et al.*, Gerson *et al.*, Bijl *et al.* and Padmanabhan *et al.* by having the code used be the code of a short message system used in telecommunication networks, as taught by Nelson, so as to employ a message transfer protocol that will serve effectively between the locally distributed speech recognition system and the remote interpreting component.

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Conclusion

31. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Bennet (US Patent 6,760,704) discloses a system for forming and transmitting merged audio and text messages.

32. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Minerva Rivero whose telephone number is (703) 605-4377. The examiner can normally be reached on Monday-Friday 9:00 am - 6:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Talivaldis Ivars Smits can be reached on (703) 305-9508. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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MR 1/24/2005

TALIVALDIS IVARS ŠMITS PRIMARY EXAMINER